

Second order asymptotics in
Quantum Information Theory:
Quantum source coding

arXiv:1403.2543, 1407.6616

Felix Leditzky

(joint work with Nilanjana Datta)



UNIVERSITY OF
CAMBRIDGE

18 August 2015

YRM 2015, Oxford

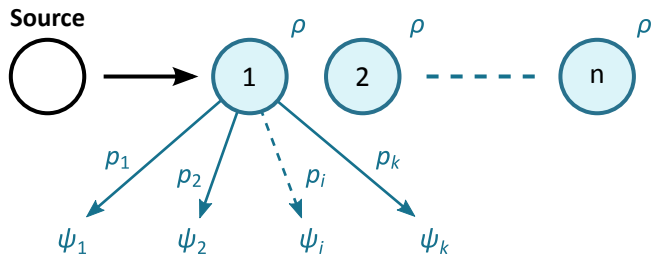
Contents

- 1 Quantum source coding
 - Description of the protocol
 - Optimal rate
- 2 One-shot setting
 - One-shot bounds
 - Information spectrum entropy
- 3 Second order characterization
 - Strong converse
 - Convergence to optimal rate

Quantum source coding

Quantum system: Modelled by Hilbert space \mathcal{H} , possible states of system correspond to vectors $|\phi\rangle \in \mathcal{H}$ ("pure states").

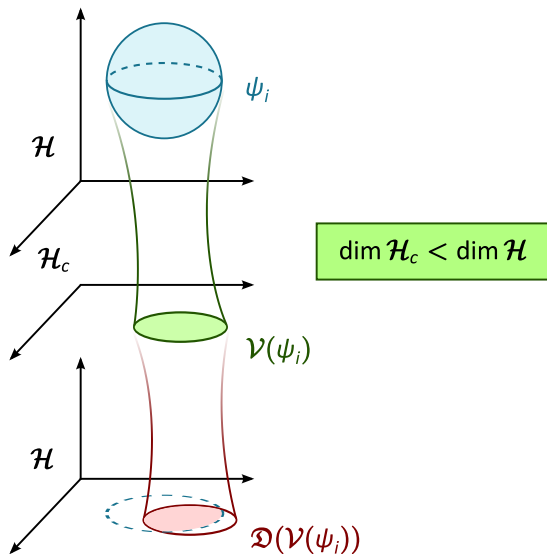
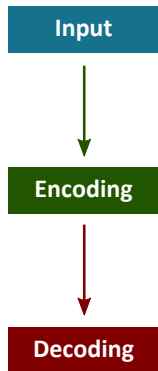
Quantum source: Atom emitting electrons, laser emitting photons, ...



Goal: Compress signals such that they can be recovered reliably.

Compression: Reduce dimension of the Hilbert space \mathcal{H} supporting ψ_i .

Quantum source coding



Quantum source coding

In more detail:

- ▶ Quantum source emits pure states $|\psi_i\rangle \in \mathcal{H}$ with probability p_i .
- ▶ Average **source state**: $\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$
("mixed state": $\rho \in \mathcal{L}(\mathcal{H})$ with $\rho \geq 0, \text{Tr } \rho = 1$)
- ▶ n uses of the source correspond to n independent and identically distributed copies of $\rho \rightarrow$ source state $\rho^{\otimes n}$ defined on $\mathcal{H}^{\otimes n}$.
- ▶ Use encoding $\mathcal{E}: \mathcal{L}(\mathcal{H}^{\otimes n}) \rightarrow \mathcal{L}(\mathcal{H}_c^n)$ where $M_n := \dim \mathcal{H}_c^n < \dim \mathcal{H}^{\otimes n}$.
- ▶ Goal: Use decoding operation $\mathcal{D}: \mathcal{L}(\mathcal{H}_c^n) \rightarrow \mathcal{L}(\mathcal{H}^{\otimes n})$ such that $(\mathcal{D} \circ \mathcal{E})(\rho^{\otimes n}) \approx \rho^{\otimes n}$.
- ▶ Triple $(\mathcal{E}, \mathcal{D}, M_n)$ is called a **source code**.

Quantum source coding

- ▶ Measure of distance between initial and final state of the protocol:
ensemble average fidelity

$$\bar{F}_n \equiv \bar{F}(\mathcal{E}, \mathcal{D}, M_n) := \sum_{\underline{i}} p_{\underline{i}} \langle \psi_{\underline{i}} | (\mathcal{D} \circ \mathcal{E})(\psi_{\underline{i}}) | \psi_{\underline{i}} \rangle \in [0, 1]$$

where $\underline{i} = (i_1 \dots i_n)$, $p_{\underline{i}} = p_{i_1} \dots p_{i_n}$, $|\psi_{\underline{i}}\rangle = |\psi_{i_1}\rangle \otimes \dots \otimes |\psi_{i_n}\rangle \in \mathcal{H}^{\otimes n}$.

- ▶ **Rate** R of the source code $(\mathcal{E}, \mathcal{D}, M_n)$:

$$R := \lim_{n \rightarrow \infty} \frac{\log M_n}{n}$$

- ▶ $R \geq 0$ is called an *achievable rate* if there exists a code $(\mathcal{E}, \mathcal{D}, M_n)$ with rate R and $\lim_{n \rightarrow \infty} \bar{F}_n = 1$.

Definition

Minimum compression length $m(\rho) :=$ smallest achievable rate

Optimal rates in Quantum Information Theory

- ▶ **Source Coding Theorem** (Schumacher 1995):
Minimum compression length is given by **von Neumann entropy**

$$S(\rho) = -\text{Tr}(\rho \log \rho)$$

of the source ρ .

- ▶ Proof consists of two parts:
 - ▷ **Achievability:** *There is a code that achieves a rate $R = S(\rho)$.*
 - ▷ **Converse:** *Every code satisfies $R \geq S(\rho)$*
- ▶ Coding theorems (such as the above) give the optimal rate of an information-theoretic task in the **asymptotic limit** $n \rightarrow \infty$.

Questions

- ▷ *How well can we perform the task for finite n ?*
- ▷ *How fast is the convergence in n ?*

One-shot setting

- ▶ Assume that we perform the source coding task only *once*:

$$\rho^{\otimes n} \xrightarrow{n=1} \rho = \sum_i p_i \psi_i$$

- ▶ Need to allow for an **error** $\epsilon \in (0, 1)$ **in the protocol**:

$$\bar{F}(\mathcal{E}, \mathcal{D}, M) \geq 1 - \epsilon$$

Definition: ϵ -error minimum compression length

$$m^\epsilon(\rho) := \inf\{\log M \mid \exists \text{ code } (\mathcal{E}, \mathcal{D}, M) \text{ with } \bar{F}(\mathcal{E}, \mathcal{D}, M) \geq 1 - \epsilon\}$$

- ▶ (Asymptotic) minimum compression length $m(\rho)$ is recovered by

$$m(\rho) = \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} m^\epsilon(\rho^{\otimes n})$$

- ▶ **Goal:** Bound $m^\epsilon(\rho)$ in terms of entropic quantities

One-shot source coding

Theorem (Datta, FL 2015)

Let $\rho \in \mathcal{D}(\mathcal{H})$ be the source state of a quantum source, and let $\epsilon \in (0, 1)$. Then we have

$$m^\epsilon(\rho) \approx H_s^\epsilon(\rho)$$

where the information spectrum entropy $H_s^\epsilon(\rho)$ is defined as (Tomamichel and Hayashi 2013)

$$H_s^\epsilon(\rho) := \inf\{\gamma \in \mathbb{R} \mid \text{Tr}[\rho\{\rho \leq 2^{-\gamma}I\}] \leq \epsilon\}.$$

- ▶ Notation: For Hermitian operator A with spectral decomposition $A = \sum_i \lambda_i E_i$, we define

$$\{A \geq 0\} := \sum_{i: \lambda_i > 0} E_i.$$

Information spectrum entropy

$$H_s^\epsilon(\rho) := \inf\{\gamma \in \mathbb{R} \mid \text{Tr}[\rho\{\rho \leq 2^{-\gamma}I\}] \leq \epsilon\}$$

- ▶ Intuition behind $H_s^\epsilon(\rho)$: Cut off small eigenvalues of ρ such that the sum of the remaining eigenvalues is ϵ -close to 1 (recall $\text{Tr} \rho = 1$).
- ▶ **Second order asymptotic expansion**

$$H_s^\epsilon(\rho^{\otimes n}) = nS(\rho) - \sqrt{n} \sigma(\rho) \Phi^{-1}(\epsilon) + \mathcal{O}(1)$$

- ▶ First order n : von Neumann entropy $S(\rho)$
- ▶ Second order \sqrt{n} :
 - ▷ quantum information variance $\sigma(\rho)^2 := \text{Tr}[\rho(\log \rho)^2] - S(\rho)^2$
 - ▷ inverse c.d.f. of a standard normal distribution $\Phi^{-1}(\epsilon) := \sup\{x \in \mathbb{R} \mid \Phi(x) \leq \epsilon\}$
- ▶ Main proof ingredient: Berry-Esseen Theorem

Second order asymptotics of source coding

- ▶ One-shot bounds for n uses of the source:

$$m^\epsilon(\rho^{\otimes n}) \approx H_s^\epsilon(\rho^{\otimes n})$$

Theorem (Datta, FL 2015)

$$m^\epsilon(\rho^{\otimes n}) = nS(\rho) - \sqrt{n} \sigma(\rho) \Phi^{-1}(\epsilon) + \mathcal{O}(\log n)$$

- ▶ Corollary: Strong converse of quantum source coding (Winter 1999)

$$\lim_{n \rightarrow \infty} \frac{1}{n} m^\epsilon(\rho^{\otimes n}) = S(\rho)$$

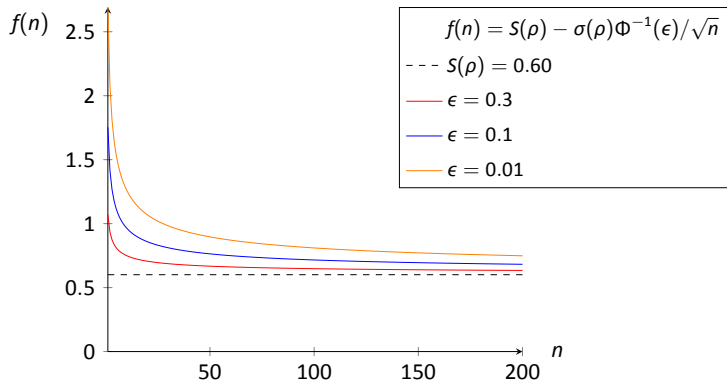
- ▶ Compare with:

$$S(\rho) = m(\rho) = \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} m^\epsilon(\rho^{\otimes n})$$

Convergence to optimal rate

Example: Photon source emitting either $|0\rangle$ or $|+\rangle$ with $p = 0.5$

$$\rho = \begin{pmatrix} 3/4 & 1/4 \\ 1/4 & 1/4 \end{pmatrix} \quad \implies S(\rho) = 0.60, \sigma(\rho) = 0.81$$



SOA of information spectrum entropy

Goal: Derive $H_s^\epsilon(\rho^{\otimes n}) = nS(\rho) - \sqrt{n} \sigma(\rho) \Phi^{-1}(\epsilon) + \mathcal{O}(\log n)$.

Recall: $H_s^\epsilon(\rho) := -\sup\{\gamma \in \mathbb{R} \mid \text{Tr}[\rho\{\rho \leq 2^\gamma I\}] \leq \epsilon\}$

Proof idea:

- ▶ Consider first the quantity $\text{Tr}[\rho^{\otimes n}\{\rho^{\otimes n} \leq 2^\gamma I\}]$.
 - ▶ Let ρ have eigenvalues $\{r_i\}_i$ (probability distribution) and define the random variable P_ρ taking values r_i with probability r_i
 - ▶ $\text{Tr}[\rho^{\otimes n}\{\rho^{\otimes n} \leq 2^\gamma I\}] = \mathbb{P}\{\log P_\rho \leq \frac{\gamma}{n}\}$
- ▶ Observe:

$$H_s^\epsilon(\rho^{\otimes n}) = -n \sup\{\gamma \in \mathbb{R} \mid \mathbb{P}\{\log P_\rho \leq \frac{\gamma}{n}\} \leq \epsilon\} = -n F_{\log P_\rho}^{-1}(\epsilon)$$

where $F_{\log P_\rho}^{-1}(\epsilon)$ is the inverse of the c.d.f. of the RV $\log P_\rho \sim P_\rho$.

SOA of information spectrum entropy

- ▶ Then:

$$H_s^\epsilon(\rho^{\otimes n}) = -nF_{\log P_\rho}^{-1}(\epsilon) = nS(\rho) - \sqrt{n} \sigma(\rho) F_{Y_n}^{-1}(\epsilon)$$

where we defined the RV

$$Y_n := \frac{\sqrt{n}}{\sigma(\rho)} (\log P_\rho + S(\rho)).$$

- ▶ Central Limit Theorem: $F_{Y_n}(x) \xrightarrow{n \rightarrow \infty} \Phi(x)$ for all x .
- ▶ Rate of convergence:

Berry-Esseen Theorem

There is a constant $K > 0$ such that for all $x \in \mathbb{R}$ and $n \in \mathbb{N}$

$$|F_{Y_n}(x) - \Phi(x)| \leq \frac{K}{\sqrt{n}}.$$

- ▶ Use this to infer $\sqrt{n} F_{Y_n}^{-1}(\epsilon) = \sqrt{n} \Phi^{-1}(\epsilon) + \mathcal{O}(1)$.

References

Datta, N. and F. Leditzky (2015). *IEEE Transactions on Information Theory* 61.1, pp. 582–608.

Schumacher, B. (1995). *Physical Review A* 51.4, p. 2738.

Tomamichel, M. and M. Hayashi (2013). *IEEE Transactions on Information Theory* 59.11, pp. 7693–7710.

Winter, A. (1999). *IEEE Transactions on Information Theory* 45.7, pp. 2481–2485.

Thank you very much for your attention!