



Yale University

## Rocky Mountain Summit on Quantum Information

# Achievable rates of Gottesman-Kitaev-Preskill (GKP) codes for Gaussian thermal loss channels

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ALFRED P. SLOAN  
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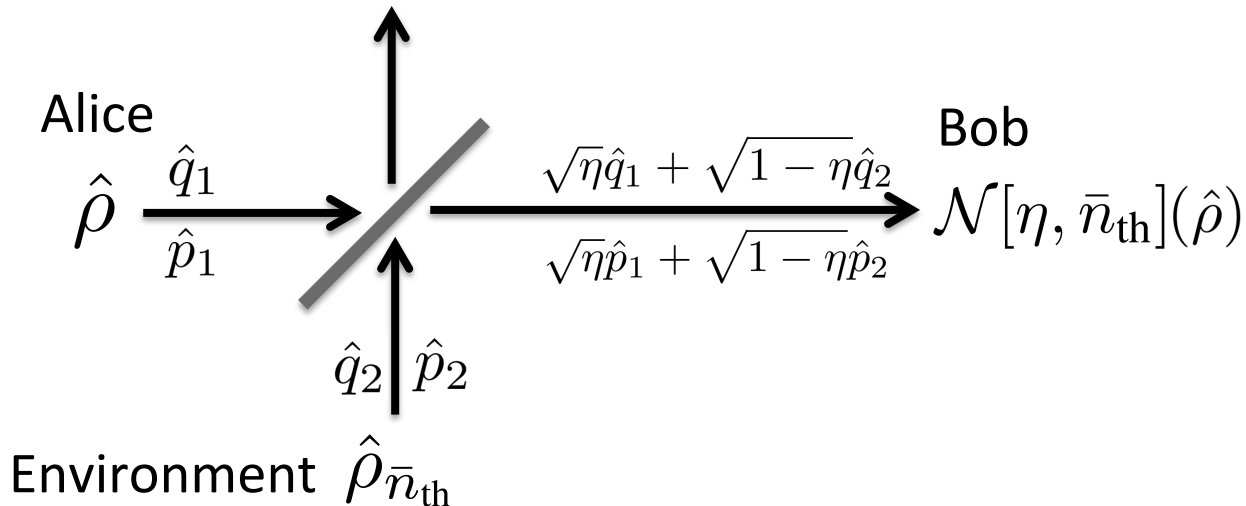
the David & Lucile  
Packard  
FOUNDATION



KFAS

# Gaussian thermal loss channels

-Definition C. Weedbrook et al., Rev. Mod. Phys. **84**, 621-669 (2012)



$\eta \in [0, 1]$  : transmissivity  $\gamma \equiv 1 - \eta$  : loss probability

: models (1) practical optical communication channels  
and (2) photon loss / gain in microwave cavity modes

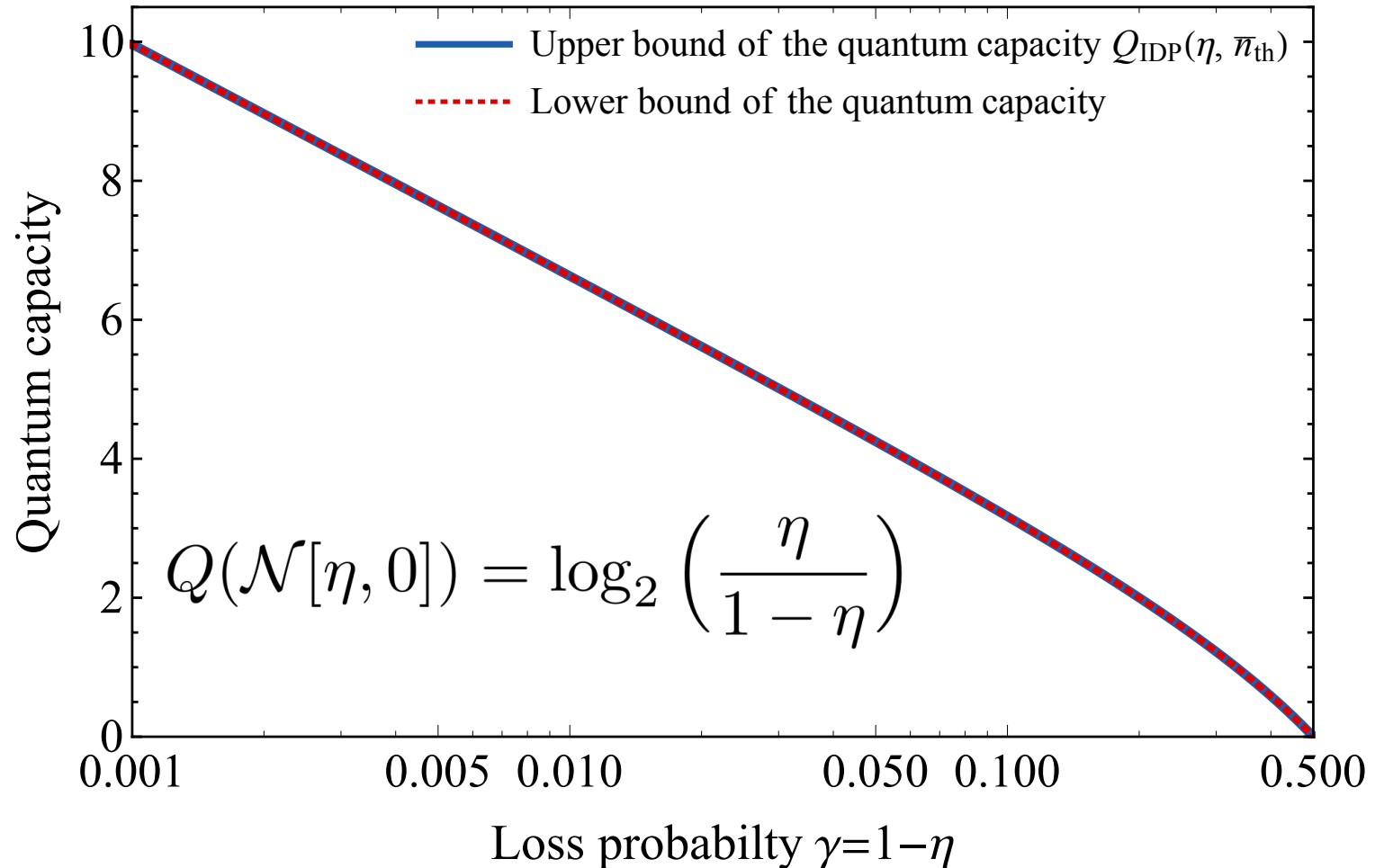
N. Ofek et al., Nature **536**, 441–445 (2016)

-Special case

$\bar{n}_{th} = 0$  : bosonic pure-loss channel

# Quantum capacity of Gaussian thermal loss channels

Bosonic pure-loss channel ( $\bar{n}_{\text{th}}=0$ )



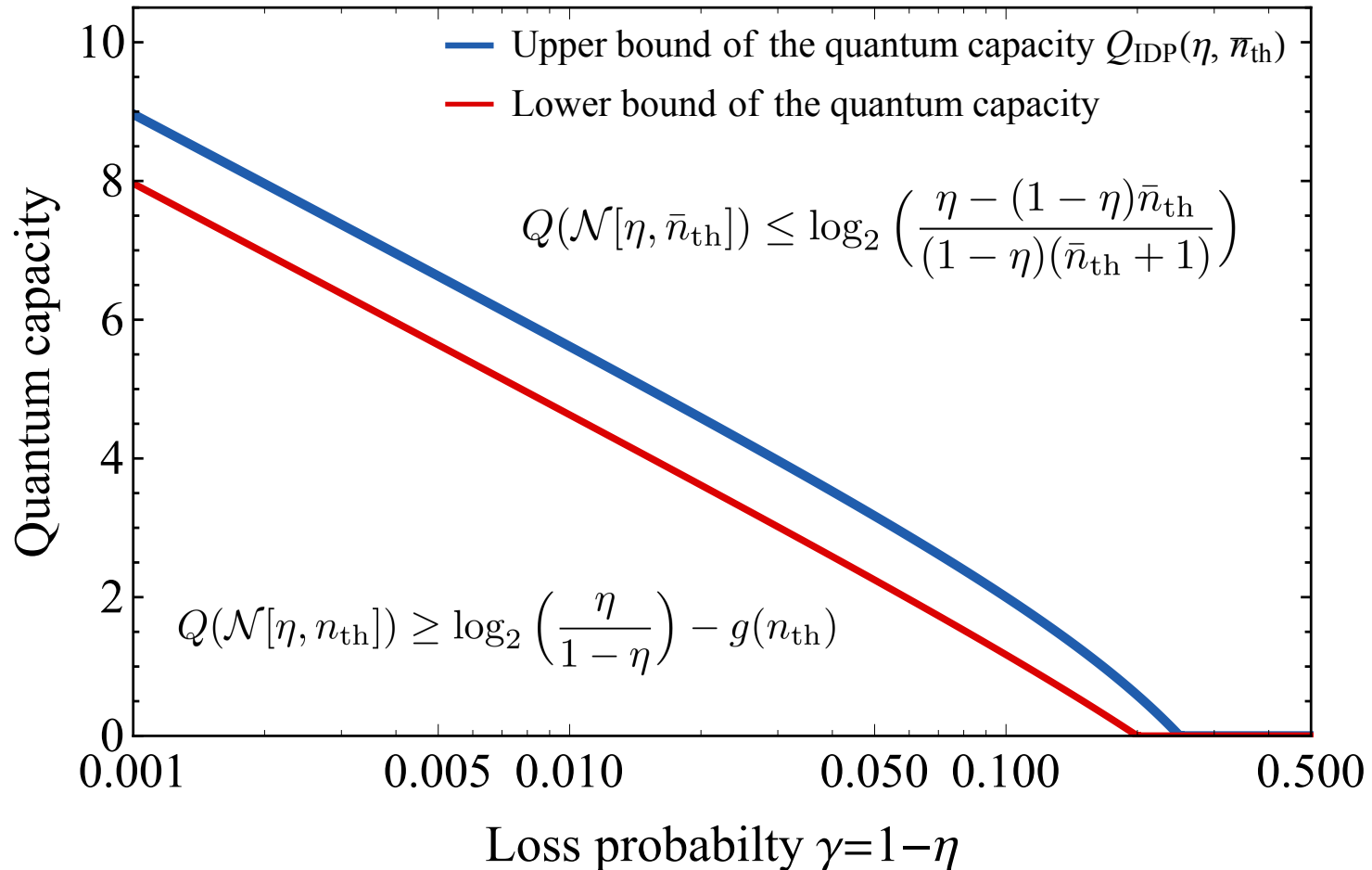
A. S. Holevo and R. F. Werner, Phys. Rev. A **63**, 032312 (2001) : Lower bound

M. M. Wolf et al., Phys. Rev. Lett. **98**, 130501 (2007) : Upper bound

M. M. Wilde and H. Qi, arXiv:1609.01997 (2016) : Upper bound

# Quantum capacity of Gaussian thermal loss channels

Gaussian thermal loss channel ( $\bar{n}_{\text{th}}=1$ )



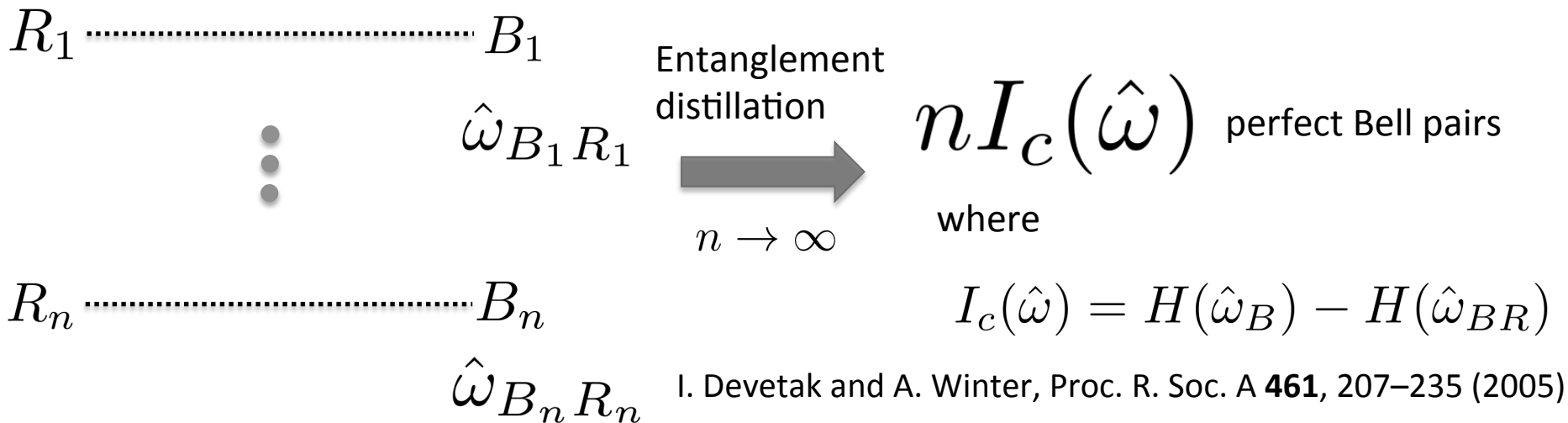
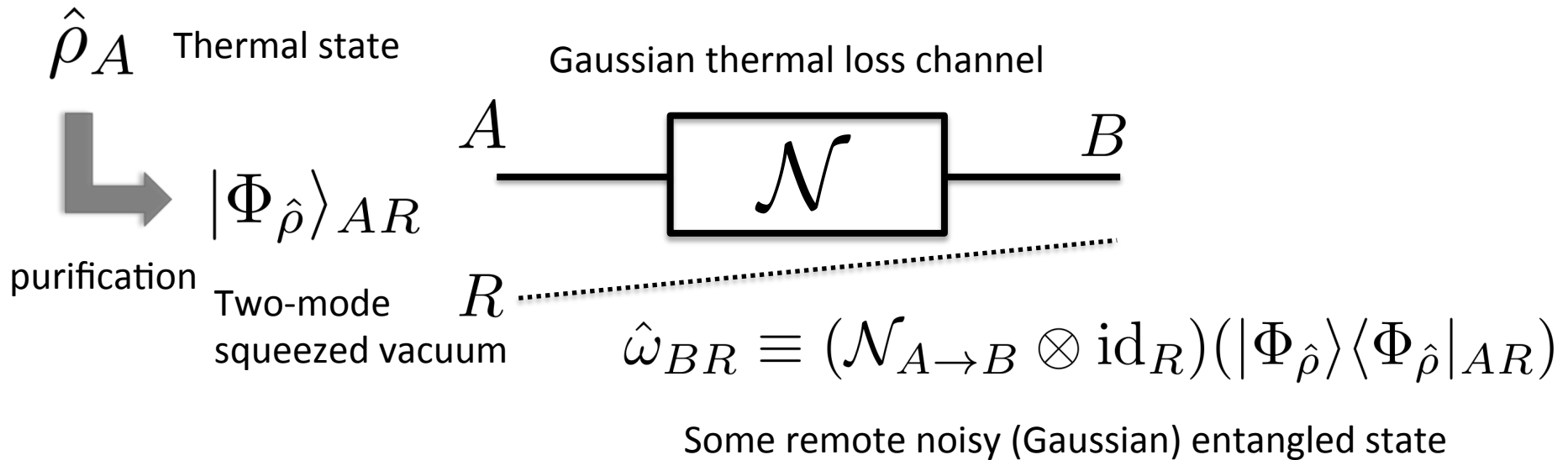
A. S. Holevo and R. F. Werner, Phys. Rev. A **63**, 032312 (2001) : Lower bound

K. Sharma, M. M. Wilde, S. Adhikari, and M. Takeoka, arXiv:1708.07257v2 (2018) : Upper bound

M. Rosati, A. Mari, and V. Giovannetti, arXiv:1801.04731v2 (2018) : Upper bound

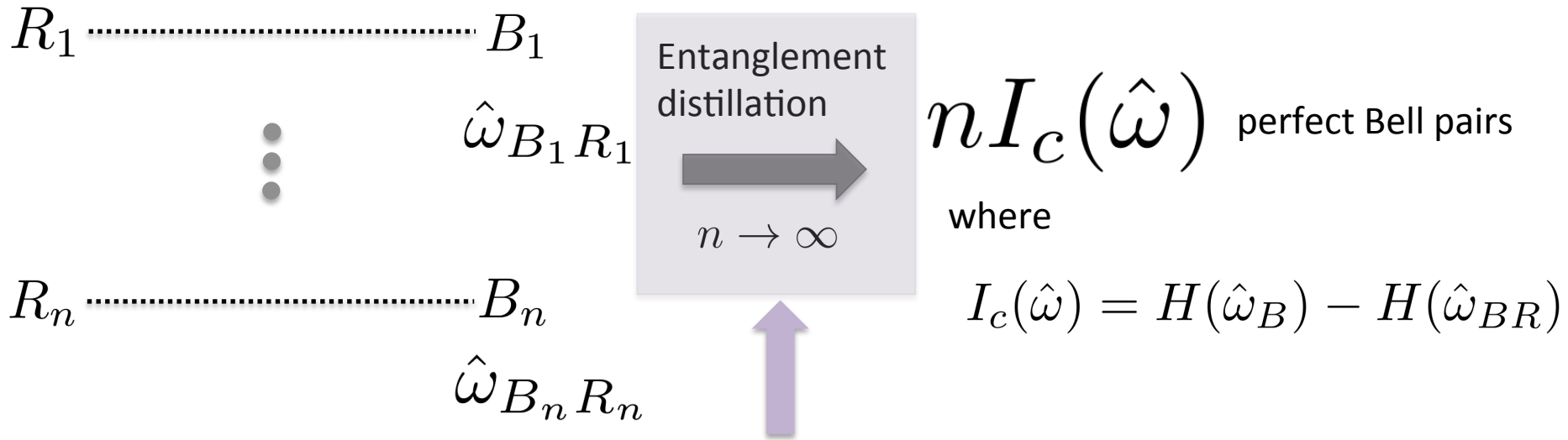
KN, V. V. Albert, and L. Jiang, arXiv:1801.07271v2 (2018) : Upper bound

# Achieving the one-shot coherent information



# Entanglement distillation

I. Devetak and A. Winter, Proc. R. Soc. A **461**, 207–235 (2005)



1. Gaussian operations?
2. Explicit scheme?

**1 : Entanglement distillation of Gaussian states with Gaussian operations only is impossible**

J. Eisert et al., Phys. Rev. Lett. **89**, 137903 (2002)

J. Niset et al., Phys. Rev. Lett. **102**, 120501 (2009)

**2. Existence proven based on a random coding argument**

(real-time fact-checking needed)

## -Aim of this work

Find an **explicit** encoding / decoding strategy that achieves the quantum capacity of Gaussian thermal loss channels

## -Earlier result J. Harrington and J. Preskill, Phys. Rev. A **64**, 062301 (2001)

A family of **Gottesman-Kitaev-Preskill (GKP) codes** achieves the one-shot coherent information of **Gaussian random displacement channels** (or additive noise channels).

## -Main result KN, V. V. Albert, and L. Jiang, arXiv:1801.07271v2 (2018)

A family of **GKP codes** achieves an upper bound of the quantum capacity of **Gaussian thermal loss channels** up to at most a constant gap  $\approx \log_2 e = 1.442\dots$

# Gottesman-Kitaev-Preskill (GKP) codes

-Working around the uncertainty principle

Heisenberg uncertainty principle :

position and momentum cannot be measured simultaneously

$$[\hat{q}, \hat{p}] = i \neq 0$$

BUT, can be measured simultaneously in modulo  $\sqrt{\pi}$

$$[\hat{S}_q, \hat{S}_p] = 0 \text{ where}$$

$$\hat{S}_q = e^{i2\sqrt{\pi}\hat{q}} = \hat{D}(i\sqrt{2\pi})$$

$$\hat{S}_p = e^{-i2\sqrt{\pi}\hat{p}} = \hat{D}(\sqrt{2\pi})$$

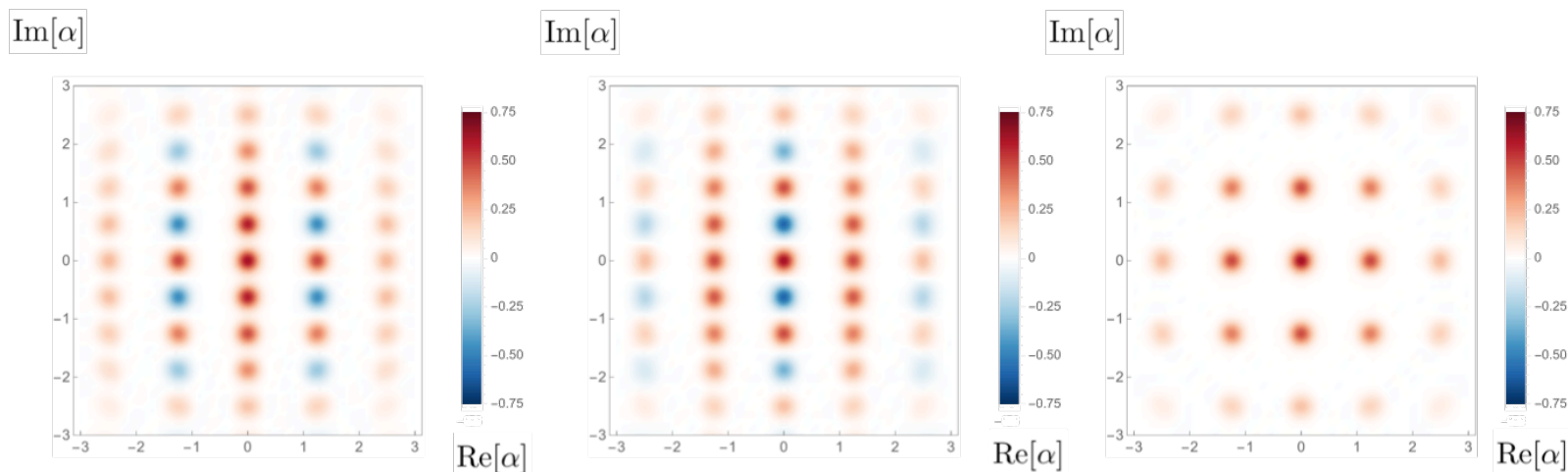
: stabilizers of the (square lattice) GKP code

D. Gottesman, A. Kitaev, and J. Preskill, Phys. Rev. A **64**, 012310 (2001)



# Logical states of the (square-lattice) GKP code

-Wigner function of the logical states



Logical 0

Logical 1

Maximally mixed

$$|0_L\rangle \propto \sum_{n \in \mathbb{Z}} |\hat{q} = (2n)\sqrt{\pi}\rangle$$

$$|+_L\rangle \propto |0_L\rangle + |1_L\rangle \propto \sum_{n \in \mathbb{Z}} |\hat{p} = (2n)\sqrt{\pi}\rangle$$

$$|1_L\rangle \propto \sum_{n \in \mathbb{Z}} |\hat{q} = (2n + 1)\sqrt{\pi}\rangle$$

$$|-_L\rangle \propto |0_L\rangle - |1_L\rangle \propto \sum_{n \in \mathbb{Z}} |\hat{p} = (2n - 1)\sqrt{\pi}\rangle$$

$$\longrightarrow \hat{q} = \hat{p} \equiv 0 \pmod{\sqrt{\pi}}$$

# Gaussian random displacement channel

## -Definition

$$\mathcal{N}_{B_2}[\sigma^2](\hat{\rho}) = \frac{1}{\pi\sigma^2} \int d^2\alpha e^{-\frac{|\alpha|^2}{\sigma^2}} \hat{D}(\alpha) \hat{\rho} \hat{D}^\dagger(\alpha)$$

$\sigma^2$  : variance of random displacement

$\Delta_q = \sqrt{2}\text{Re}[\alpha]$  : random displacement in the position quadrature

$\Delta_p = \sqrt{2}\text{Im}[\alpha]$  : random displacement in the momentum quadrature

## -Decoding the square lattice GKP code

measure  $\hat{q}$  and  $\hat{p}$  in modulo  $\sqrt{\pi}$

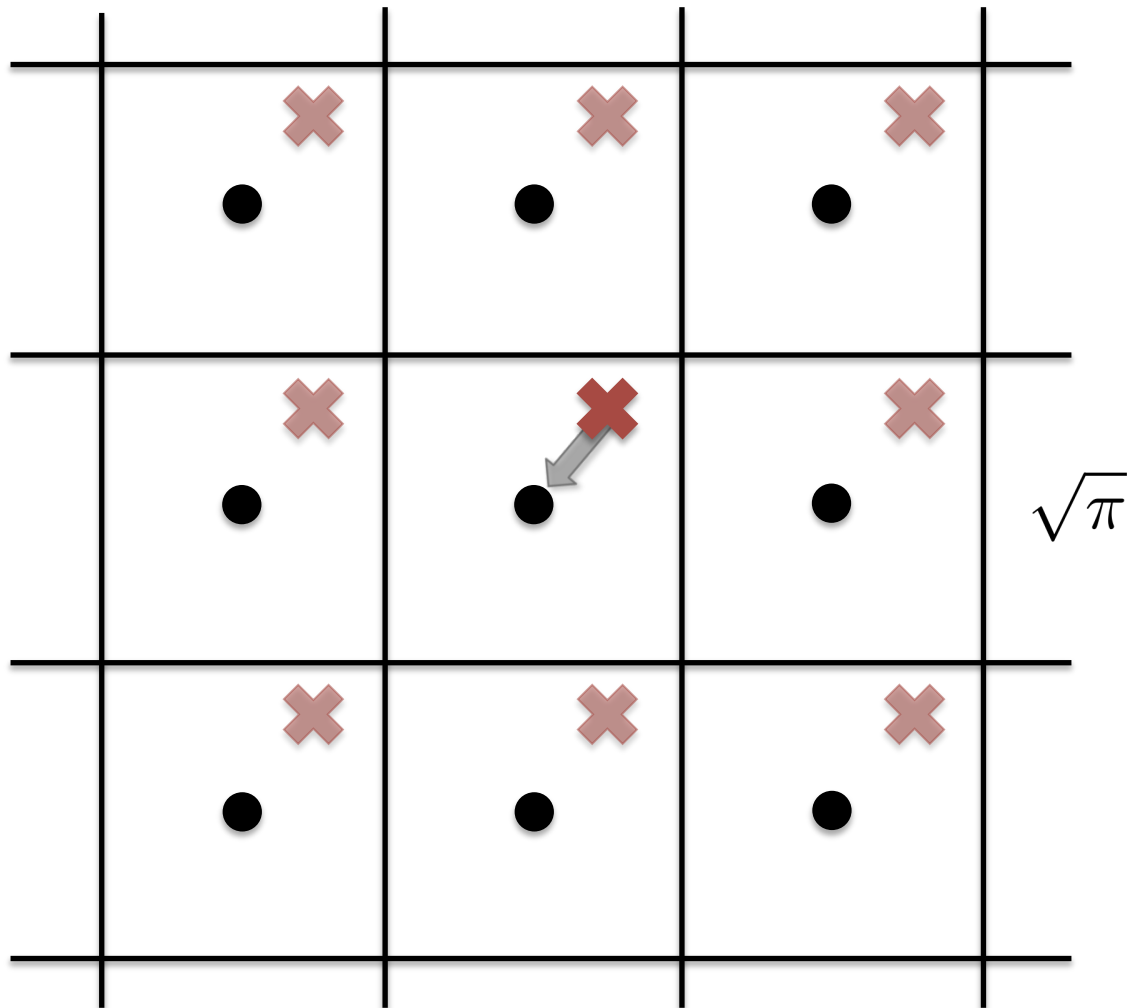
$$\longrightarrow \Delta_q = \Delta_q^* + n_q \sqrt{\pi} \quad \text{and} \quad \Delta_p = \Delta_p^* + n_p \sqrt{\pi}$$

$$\text{where } \Delta_q^*, \Delta_p^* \in \left(-\frac{\sqrt{\pi}}{2}, \frac{\sqrt{\pi}}{2}\right]$$

$\longrightarrow$  infer  $\Delta_q = \Delta_q^*$  and  $\Delta_p = \Delta_p^*$ , then implement the counter displacement

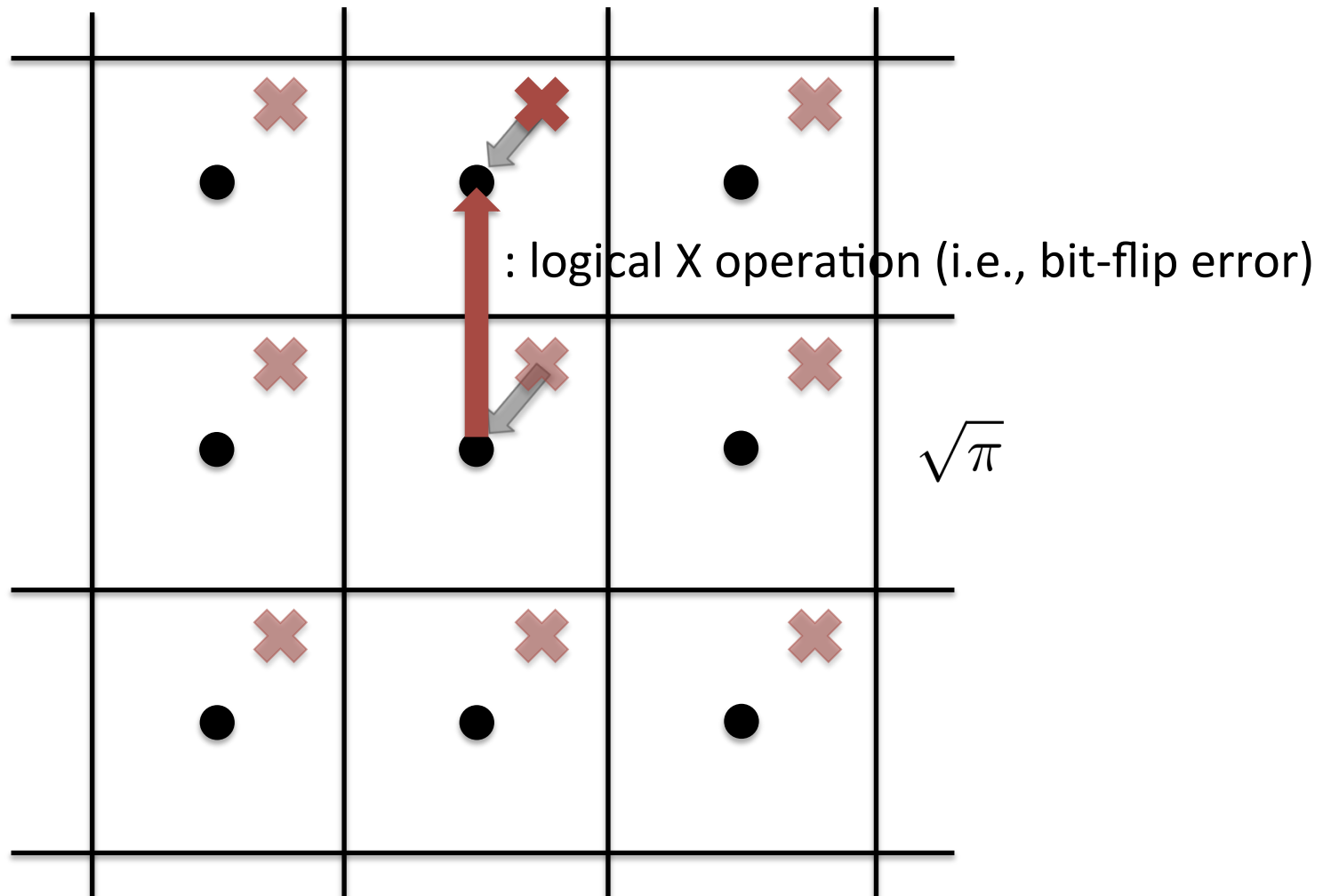
# Conventional GKP decoding

-Successful decoding



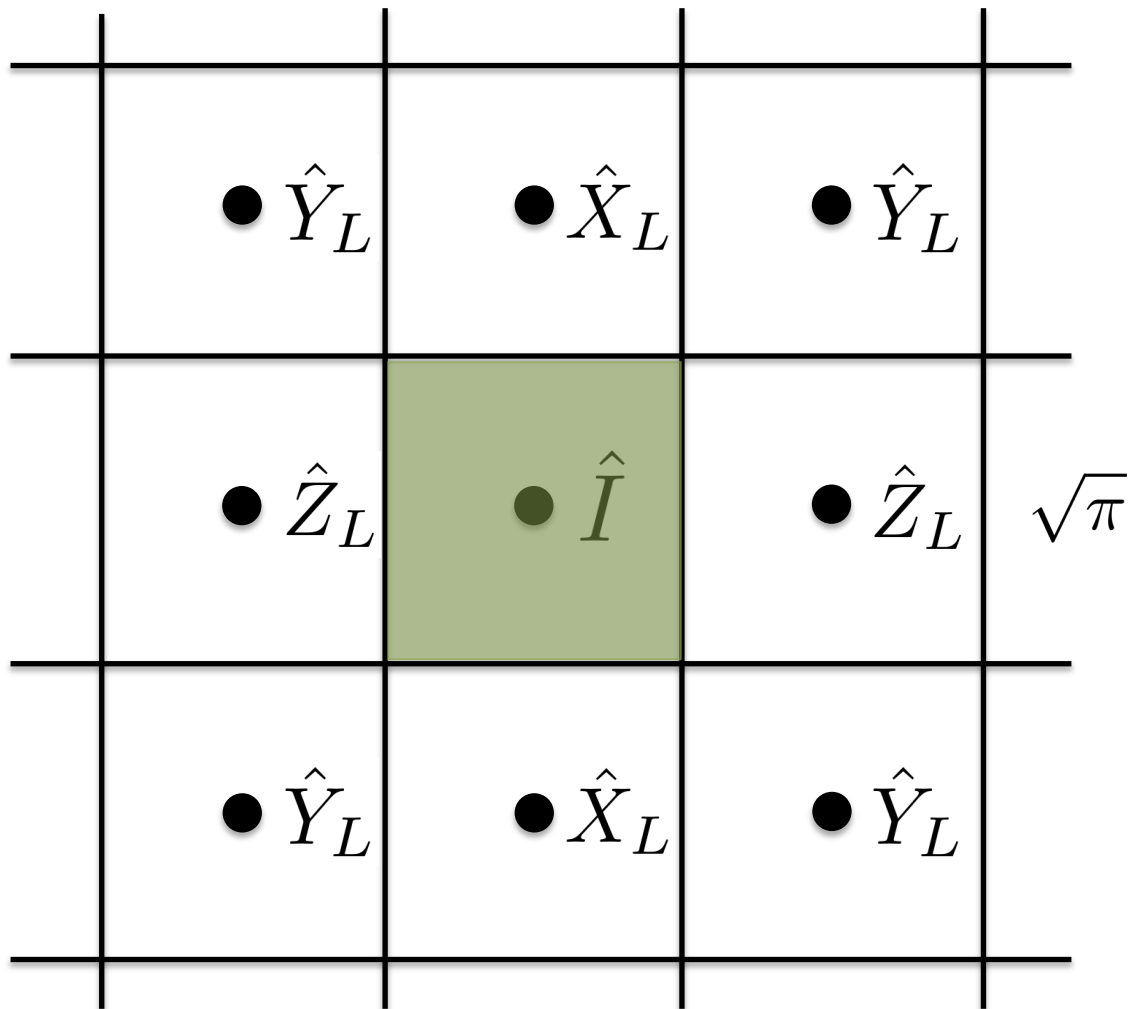
# Conventional GKP decoding

-Failed decoding



# Conventional GKP decoding

-Failed decoding



# Success probability

$$\begin{aligned} P_{\text{succ}} &= \frac{1}{2\pi\sigma^2} \int_{-\frac{\sqrt{\pi}}{2}}^{\frac{\sqrt{\pi}}{2}} d\Delta_q \int_{-\frac{\sqrt{\pi}}{2}}^{\frac{\sqrt{\pi}}{2}} d\Delta_p e^{-\frac{\Delta_q^2 + \Delta_p^2}{2\sigma^2}} \\ &\geq \frac{1}{2\pi\sigma^2} \int_0^{\frac{\sqrt{\pi}}{2}} r dr \int_0^{2\pi} d\theta e^{-\frac{r^2}{2\sigma^2}} \\ &= \int_0^{\frac{\pi}{8\sigma^2}} dx e^{-x} \\ &= 1 - \exp\left[-\frac{\pi}{8\sigma^2}\right] \end{aligned}$$

# Generalization to symplectic lattices

-Stabilizers

$$\hat{S}_{\mathcal{S},q} \equiv \exp \left[ i\sqrt{2\pi d}(S_{11}\hat{q} + S_{21}\hat{p}) \right]$$

$$\hat{S}_{\mathcal{S},p} \equiv \exp \left[ -i\sqrt{2\pi d}(S_{12}\hat{q} + S_{22}\hat{p}) \right]$$

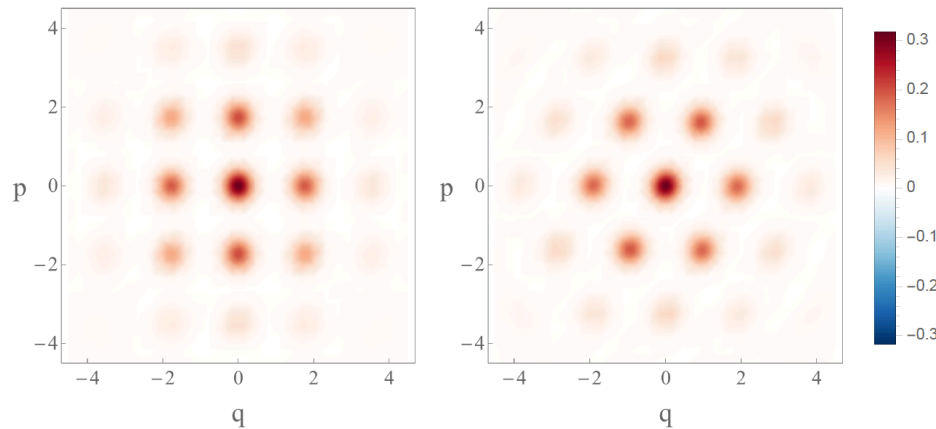
-Generator of the lattice

$$\mathbf{S} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} : \text{symplectic matrix}$$

$$\mathbf{S}\mathbf{\Omega}\mathbf{S}^T = \mathbf{\Omega} \quad \text{where} \quad \mathbf{\Omega} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$

# Efficient sphere packing

-One mode square vs. hexagonal lattice GKP codes



Wigner function  
of the maximally  
mixed code states  
(code fingerprint)

-Correctable radius of displacement

$r = \sqrt{|\Delta_q|^2 + |\Delta_p|^2}$  : Radius of random displacement

Correctable if  $r \leq r_{\text{sq}} = \sqrt{\frac{\pi}{4}}$  : square  $r \leq r_{\text{hex}} = \sqrt{\frac{\pi}{2\sqrt{3}}}$  : hexagonal

➡ Lattices supporting more dense sphere packing  
provide better protection!

D. Gottesman, A. Kitaev, and J. Preskill, Phys. Rev. A **64**, 012310 (2001)

J. Harrington and J. Preskill, Phys. Rev. A **64**, 062301 (2001)



# Achievable rate of the GKP codes

-Gaussian random displacement channel

$$\mathcal{N}_{B_2}[\sigma^2](\hat{\rho}) = \frac{1}{\pi\sigma^2} \int d^2\alpha e^{-\frac{|\alpha|^2}{\sigma^2}} \hat{D}(\alpha) \hat{\rho} \hat{D}^\dagger(\alpha)$$

$\sigma^2$  : variance of the random displacement

-Achievable rate of the GKP code

J. Harrington and J. Preskill, Phys. Rev. A **64**, 062301 (2001)

$$R = \max \left( \log \left\lfloor \frac{1}{e\sigma^2} \right\rfloor, 0 \right) \quad \text{(assuming infinitely many modes and most efficient sphere packing)}$$

-Loss + amplification = displacement

$$\mathcal{N}[\eta, \bar{n}_{th}] \cdot \mathcal{A}[1/\eta] = \mathcal{N}_{B_2}[\tilde{\sigma}_{\eta, \bar{n}_{th}}^2]$$

$$\text{where } \tilde{\sigma}_{\eta, \bar{n}_{th}}^2 \equiv (1 - \eta)(\bar{n}_{th} + 1)$$

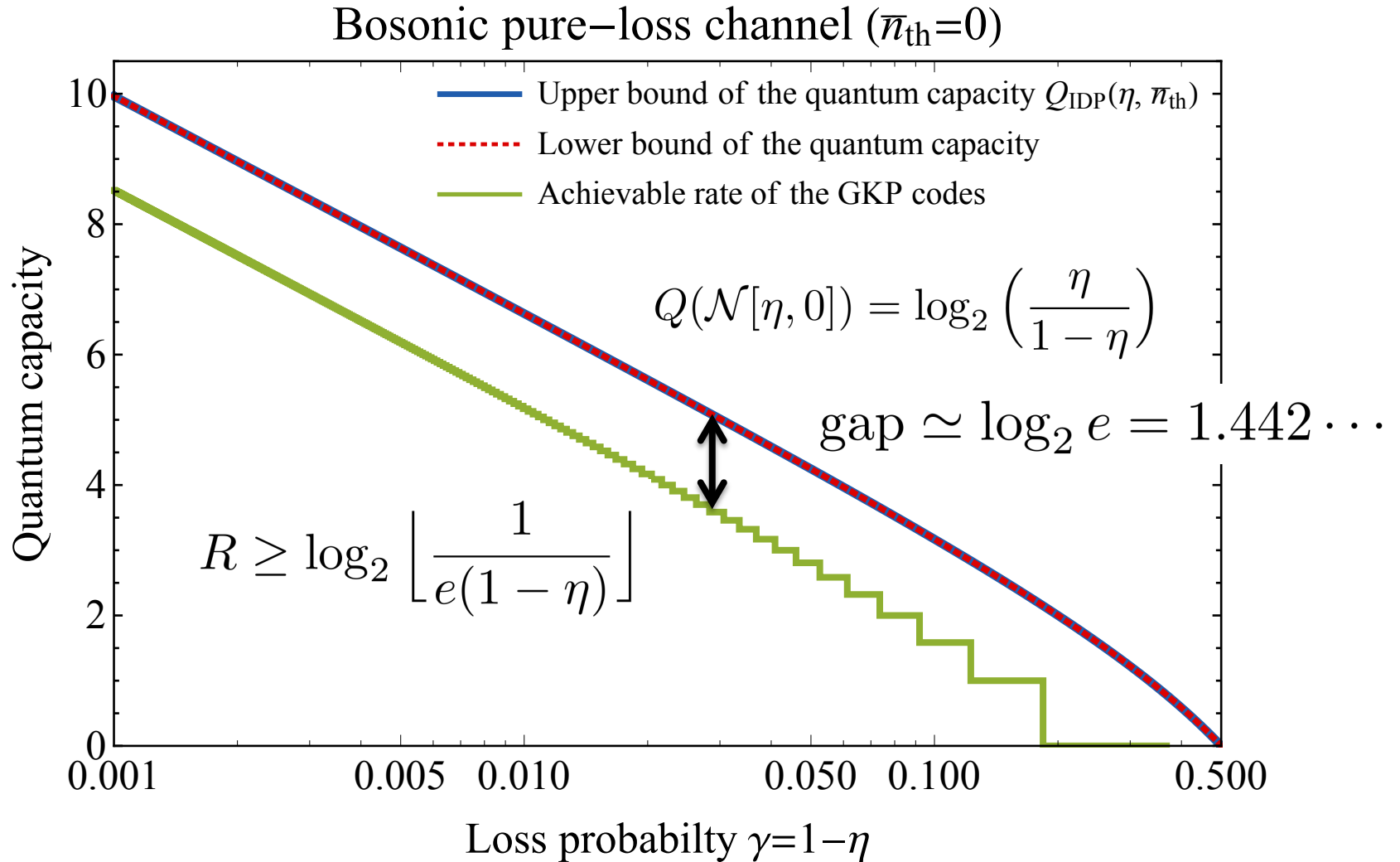
V. V. Albert, **KN**, ... , L. Jiang, PRA **97**, 032346 (2018)

**KN**, V. V. Albert, and L. Jiang, arXiv:1801.07271v2 (2018)

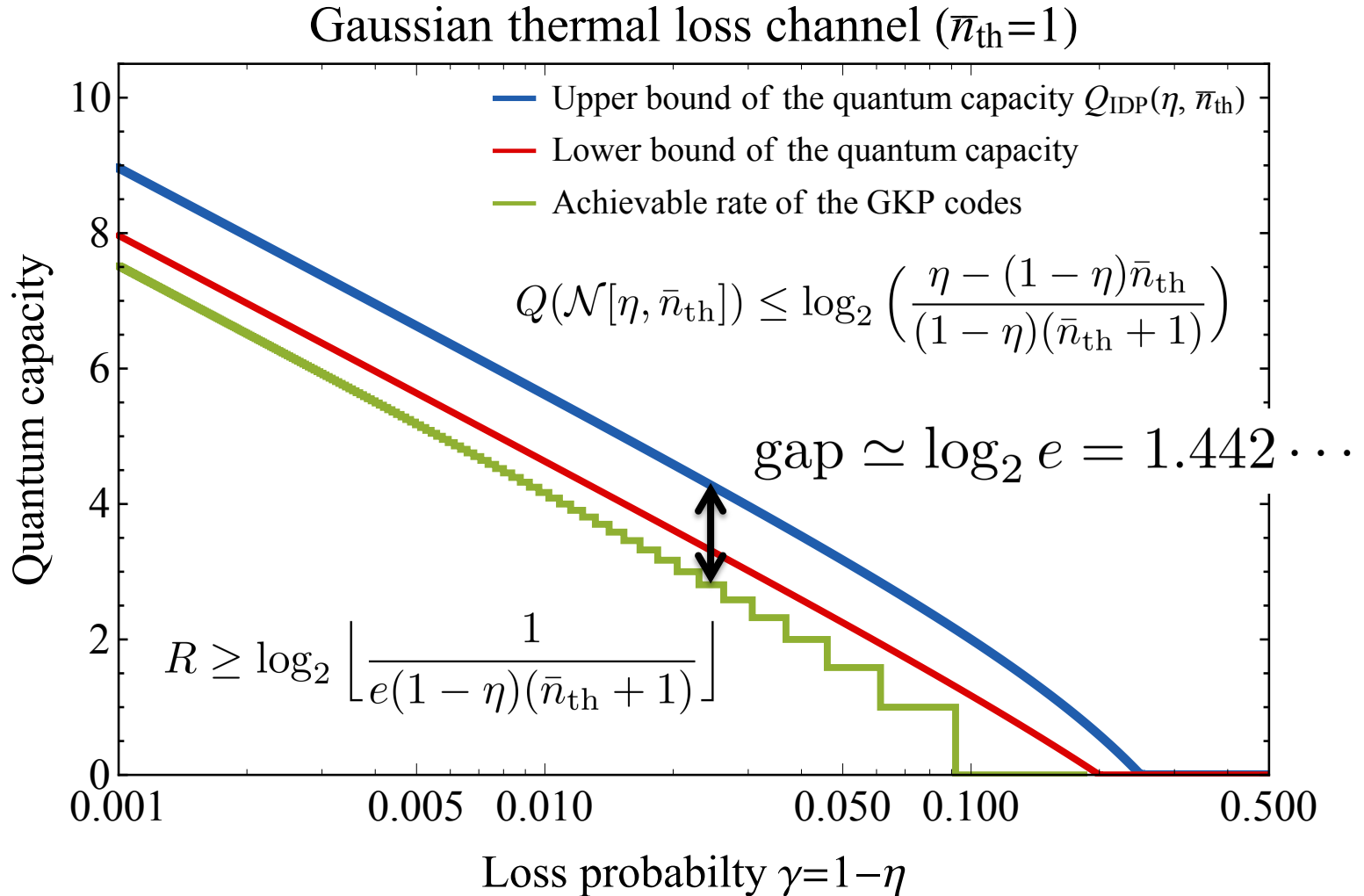


Can use amplification + conventional GKP decoding for the displacement channel to correct loss errors

# Achievable rate of the GKP codes



# Achievable rate of the GKP codes

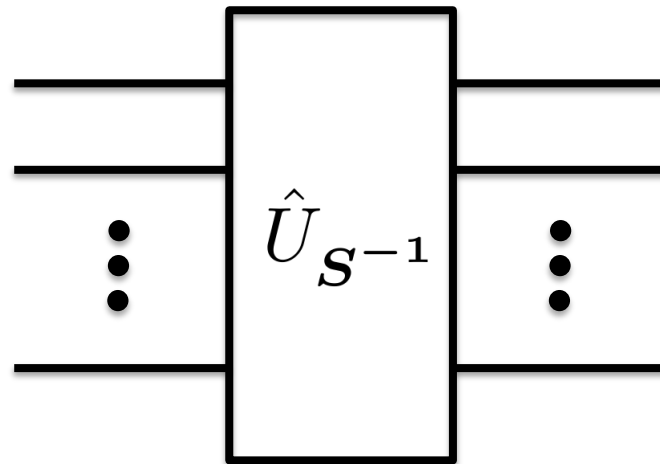


# Several known lattices

$E_8$  lattice and the Leach lattice  $\Lambda_{24}$  are symplectic, and thus can be used to define 4-mode and 12-mode GKP code, respectively.

## -Implementation

N-mode  
square lattice  
GKP code states



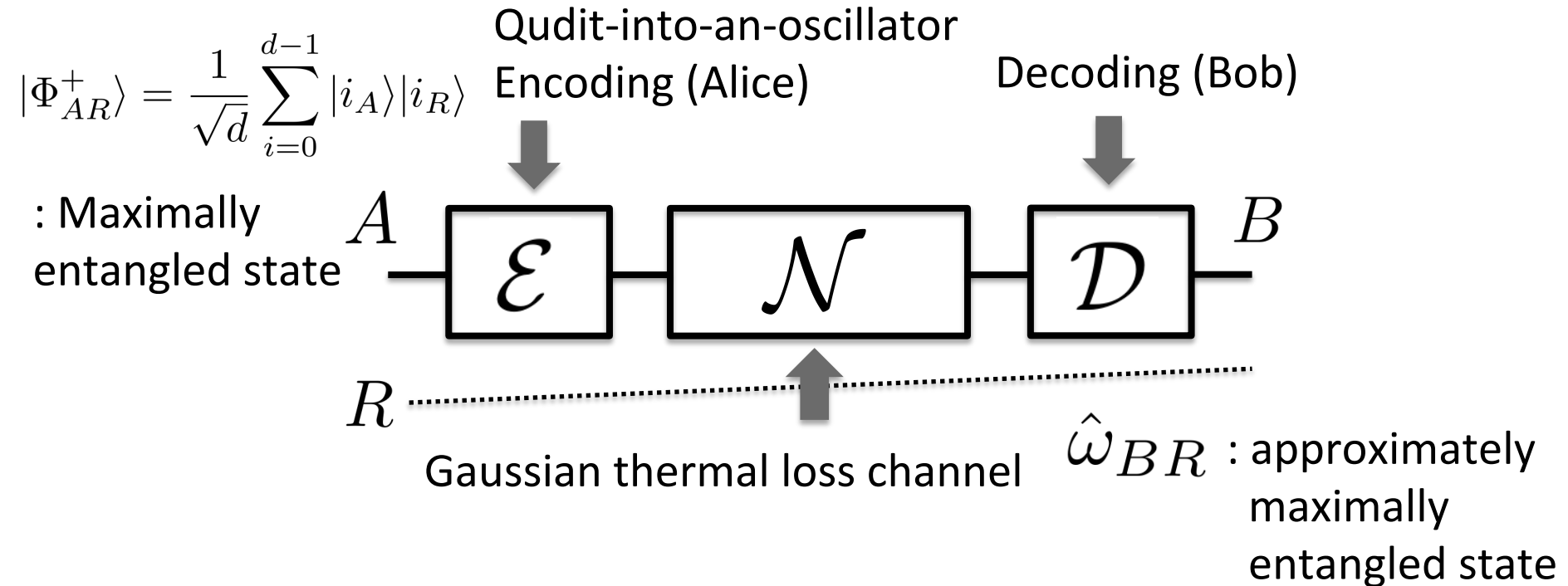
N-mode  
symplectic lattice  
GKP code states

Gaussian unitary associated  
with a symplectic lattice  
(e.g.,  $E_8$  and  $\Lambda_{24}$ )

# Constant gap from the capacity

- Achievable rate of GKP codes deviates from the quantum capacity by  $\approx \log_2 e = 1.442\dots$
- The decoding we assumed (amplification followed by the conventional GKP decoding) to established the rate is not optimal.
  - Petz recovery may yield a better rate.
- It could also be that GKP codes are not optimal for Gaussian thermal loss channels.
  - Numerical search for better codes (next slide)

# Entanglement fidelity



$$\hat{\omega}_{RB} = (\mathcal{D} \cdot \mathcal{N} \cdot \mathcal{E} \otimes \text{id}_R)(|\Phi_{AR}^+\rangle\langle\Phi_{AR}^+|)$$

$\longrightarrow F_e = \langle\Phi_{BR}^+|\hat{\omega}_{BR}|\Phi_{BR}^+\rangle$  : Entanglement fidelity

# Maximization of entanglement fidelity

M. Reimpell and R. F. Werner, Phys. Rev. Lett. **94**, 080501 (2005)

A. S. Fletcher, P. W. Shor, and M. Z. Win, Phys. Rev. A **75**, 012338 (2007)

$$\max_{\hat{X}_{\mathcal{E}}, \hat{X}_{\mathcal{D}}} \text{Tr}[\hat{X}_{\mathcal{D}}^{\dagger} f_{\mathcal{N}}(\hat{X}_{\mathcal{E}})],$$

$$\text{s.t. } \hat{X}_{\mathcal{D}} = \hat{X}_{\mathcal{D}}^{\dagger} \succeq 0, \text{Tr}_{\mathcal{H}_0} \hat{X}_{\mathcal{D}} = \hat{I}_{\mathcal{H}_n},$$

$$\hat{X}_{\mathcal{E}} = \hat{X}_{\mathcal{E}}^{\dagger} \succeq 0, \text{Tr}_{\mathcal{H}_n} \hat{X}_{\mathcal{E}} = \hat{I}_{\mathcal{H}_0},$$

$$\text{and } \text{Tr}[(\hat{E} \otimes \hat{I}_{\mathcal{H}_0}) \hat{X}_{\mathcal{E}}] \leq \bar{E}d,$$

where  $(f_{\mathcal{N}}(\hat{X}))_{[l'i'], [li]} \equiv \sum_{k, k'=0}^{n-1} (\hat{X}_{\mathcal{N}})_{[kl], [k'l']} (\hat{X})_{[ik], [i'k']}$

is a linear map



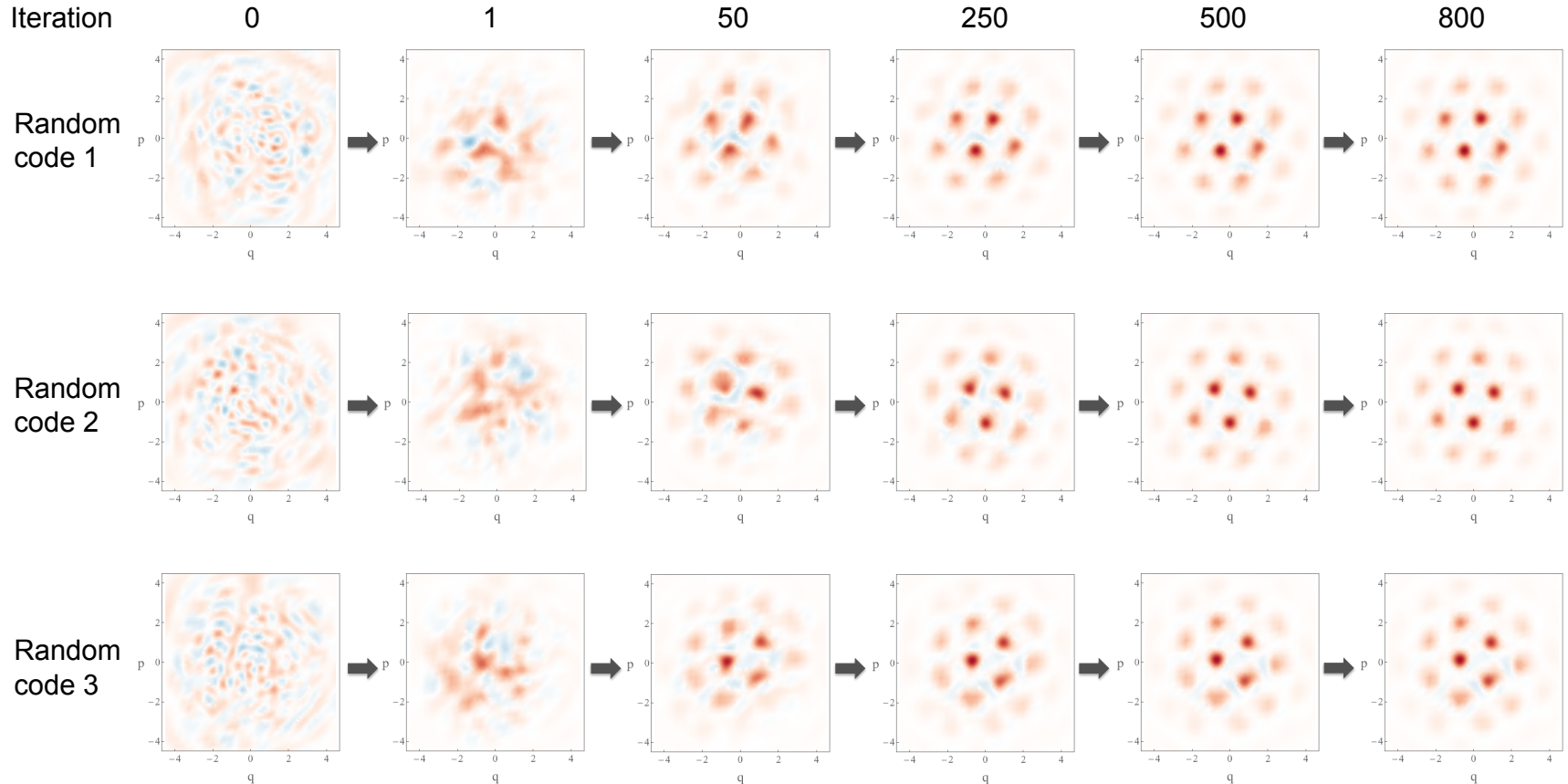
Biconvex optimization : Can be tackled by alternating semidefinite programming (SDP)

R. L. Kosut and D. A. Lidar, Quantum Information Processing **8**, 443–459 (2009)

KN, V. V. Albert, and L. Jiang, arXiv:1801.07271v2 (2018)

# Optimization results : GKP supremacy

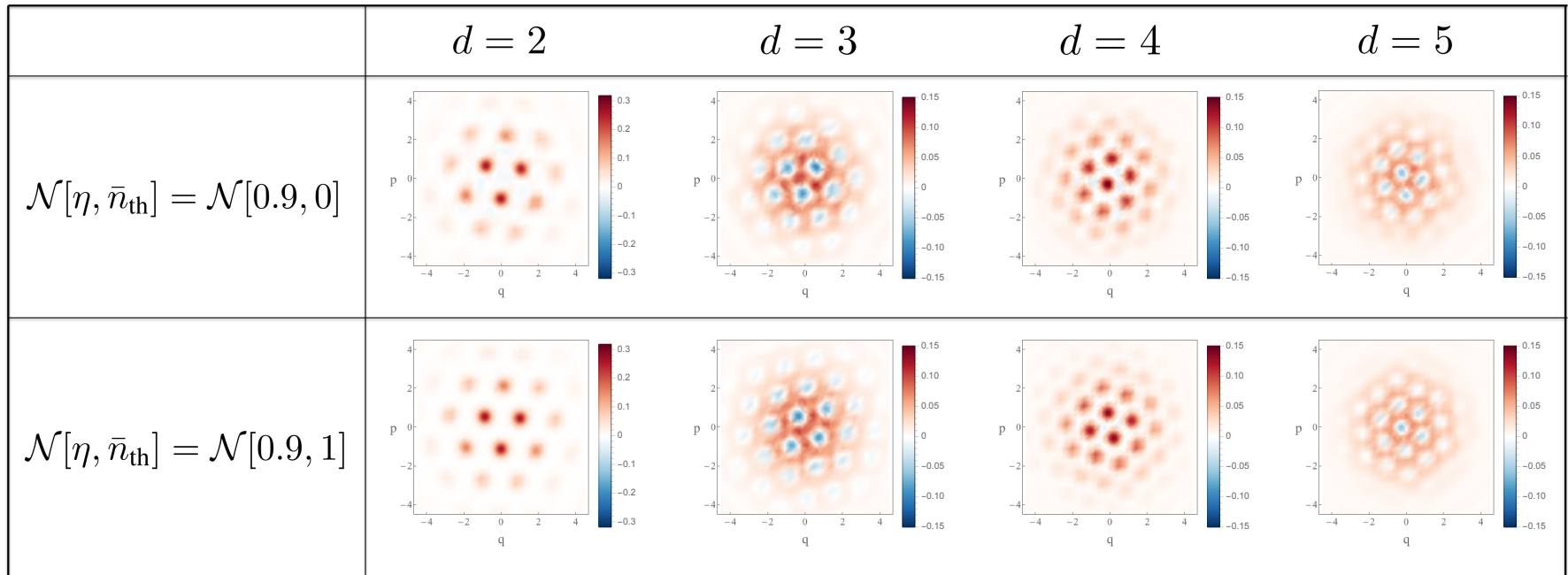
-Qubit-into-an-oscillator code for a pure-loss channel ( $\eta=0.9$ )



A Hexagonal GKP code emerges as an optimal encoding from a random Haar initial code! ( $n=20$  and  $\bar{n} \leq 3$ )



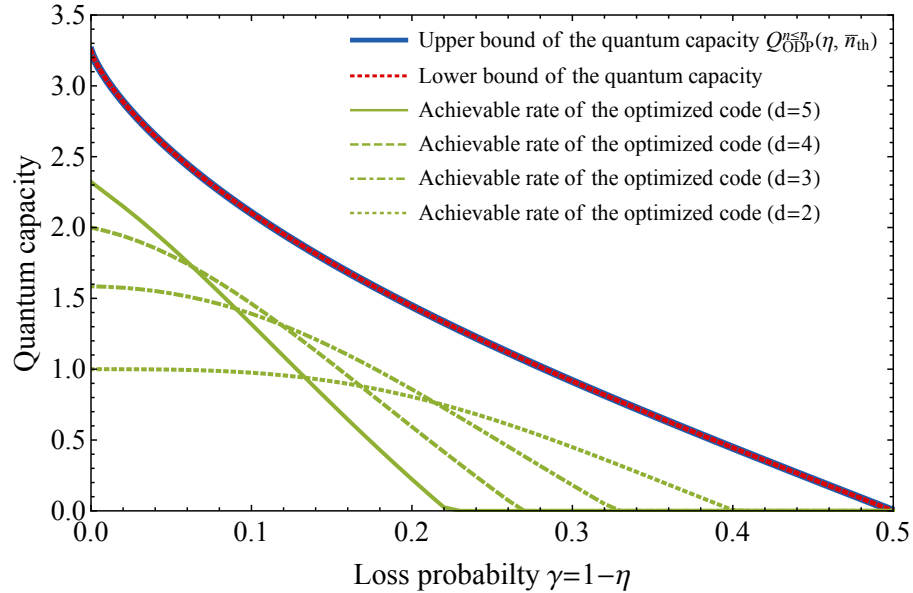
# Optimal qudit-into-an-oscillator codes



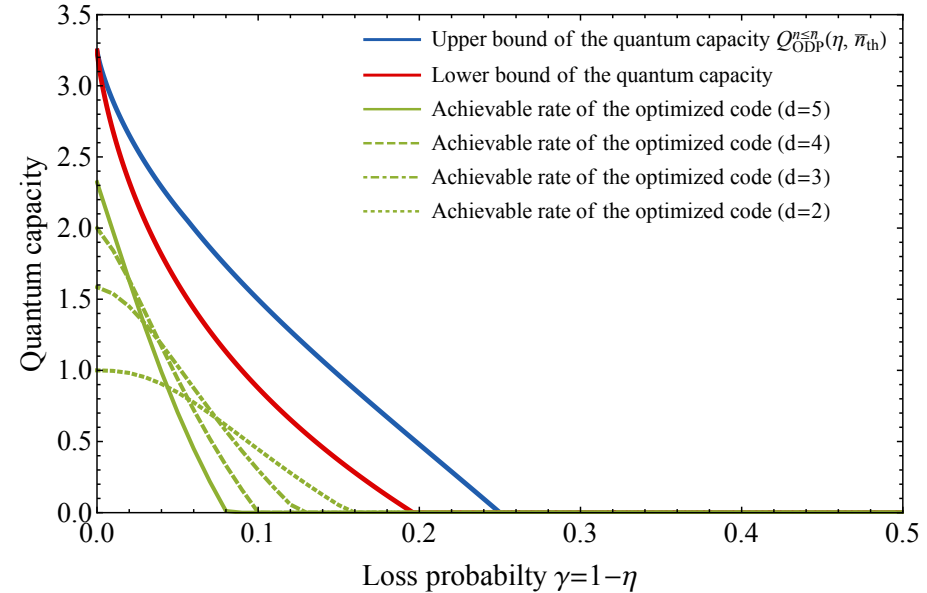
Again, a Hexagonal GKP code emerges as an optimal encoding from a random Haar initial code both for a bosonic pure-loss channel and a Gaussian thermal loss channel!  
( $n=30$  and  $\bar{n} \leq 3$ )

# Achievable rate of the optimized codes

Bosonic pure-loss channel ( $\bar{n}_{\text{th}}=0, \bar{n}=3$ )



Gaussian thermal loss channel ( $\bar{n}_{\text{th}}=1, \bar{n}=3$ )



$$\hat{W}(F_e, d) \equiv F_e |\Phi^+\rangle\langle\Phi^+| + \frac{(1 - F_e)}{d^2 - 1} (\hat{I} - |\Phi^+\rangle\langle\Phi^+|)$$

$$\longrightarrow R(F_e, d) = \log d + F_e \log F_e + (1 - F_e) \log \left( \frac{1 - F_e}{d^2 - 1} \right)$$

# Outlook

- A family of Gottesman-Kitaev-Preskill (GKP) codes achieves the quantum capacity of Gaussian thermal loss channels up to at most a constant ( $\approx \log_2 e = 1.442\dots$ ) number of qubits per channel use.
- Numerical optimization suggests that the GKP code defined over an optimal lattice (supporting the most efficient sphere packing) may be the optimal encoding for Gaussian thermal loss channels.
- **Open problem : Find the optimal achievable rate of GKP codes using Petz recovery (or a variant of Petz recovery).**

For more details please see

V. V. Albert, **KN**, ... , L. Jiang, PRA **97**, 032346 (2018)

**KN**, V. V. Albert, L. Jiang, arXiv:1801.07271v2 (2018)